## Practitioner's Docket No. 1145-001C1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John D. Grace

Application No.: To Be Assigned Group No.: To Be Assigned Examiner: To Be Assigned

For: A METHOD AND SYSTEM FOR CREATING IRREGULAR THREE-DIMENSIONAL POLYGONAL VOLUME MODELS IN A THREE-DIMENSIONAL

GEOGRAPHCI INFORMATION SYSTEM

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

Applicant submits this statement in accordance with his duty of disclosure under 37 CFR 1.56 and 1.97-1.98. The submission made herewith is in no way intended as an admission that the cited items constitute material prior art or otherwise would render the claims unpatentable in any way. The submission also is in no way intended to substitute for the Examiner's own independent investigation.

A listing of the references on forms PTO/SB/08A and PTO/SB/08B are submitted herewith. Copies of the references have not been provided due to their presence in the parent application. The cited references obtained from the Internet (e.g., on-line help from ESRI's ArcGIS) and from ESRI software application's help section(s) provide prior art information concerning prior art methodologies that

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have been referenced in the specification of this patent application wherein the information was known by the public prior to the filing date of the present application. Applicant respectfully solicits the Examiner's consideration of the cited references and entry thereof into the record of this application.

This Information Disclosure Statement is being submitted prior to the mailing of a first Office Action. No fees are believed to be due, however, if any fee is due with the filing of this paper please charge the amount to deposit account 50-1097.

Date: September 11, 2003

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				Application Number	To Be Assigned
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	Herewith
			APPLICANT	First Named Inventor	John D. Grace
				Group Art Unit	To Be Assigned
(use as many sheets as necessary)		Examiner Name	To Be Assigned		
Sheet	1	of	1	Attorney Docket Number	1145-001C1

	_			U.S. PATENT DOC	UMENTS	-
Examiner Initials*	Cite No.1	U.S. Patent Number	Cocument  Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		4,991,095		Swanson	02/05/1991	
		5,126,978		Chaum	06/30/1992	
		5,790,123		Wald et al.	08/04/1998	
		5,953,680		Divies et al.	09/14/1999	
		6,012,016		Bilden et al.	01/04/2000	
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		6,229,546		Lancaster et al.	05/08/2001	
		6,307,555		Lee	10/23/2001	
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Initials*	No.1	Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Te
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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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	Substitute for form 1449B/PTO  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (use as many sheets as necessary)	Application Number	To Be Assigned		
INF	ORMATION	DISCLOSURE	Filing Date	Herewith	
			First Named Inventor	John D. Grace	
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Sheet	1	of 0	Attorney Docket Number	1145-001C1	

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ESRI Shapefile Technical Description, An ESRI White Papter, July 1998	
		Printout of online-help from ESRI's ArcGIS, "PolyLineZ", "Make"	
		Printout of online-help from ESRI's ArcGIS, "MultiPatch"	
	_	Printout of ESRI's Online Support Center documents on Sphere Multipatch	
		Printout of ESRI's Online Support Center documents on Cylinder Multipatch	-
		Printout of ESRI's Online Support Center documents on Cube Multipatch	
	_	Printout of ESRI's Online Support Center documents on Pyramid Multipatch	
		Printout of online-help from ESRI's ArcGIS, "To Create Surfaces From Point Samples"	-
		Excerpts from JOHNSTON, Kevin, et al., "Using ArcGIS Geostatistical Analyst", ESRI ArcGIS	
		Excerpts from DAVIS John C., "Statistics and Data Analysis in Geology", Second Edition, John Wiley & Sons	
		Printout of online-help from ESRI's ArcGIS, "PointZ", "AsMultiPointZ"	

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		Printout of online-help from ESRI's ArcGIS, "Unioning Features"	
		Excerpts from MINAMI, Michael, "Using ArcMap", ESRI ArcGIS, p. 385	
		Printout of online-help from ESRI's ArcGIS, "ExtractbyPolygon"	
	<u> </u>	Excertps from MINAMI, Michael, "Using ArcMap", ESRI ArcGIS, p. 315	┼
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	-	Excerpt from MINAMI, Michael, "Using ArcMap", ESRI ArcGIS, p. 383	+-
:		Printout of online-help from ESRI's ArcGIS, "Buffering Graphics and Features", "Create Buffers"	
		Excerpt from MINAMI, Michael, "Using ArcMap", p. 472	-
		Printout of online-help from GOM3, "View Tool Bars"	-
		Printout of online-help from GOM3 Documentation and Help for ArcView 3.x., "Hotlink	
		Tool"	
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		Printout of online-help from ESRI's ArcGis, "Explode"	+-

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<del></del>	-	Printout of GOM3 System Documentation and Help, "How to Link to Excel"	+-			
!		Documentation from BOOTH, Bob, "Using ArcGIS 3D Analyst", ESRI ArcGIS, p. 81	<u> </u>			
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				Art Unit	To Be Assigned
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Sheet	1	of	1	Attorney Docket Number	1145-001C1

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		Using ArcGIS Spatial Analyst, Environmental Systems Research Institute (Copyright 2001)			
		Using ArcGIS Geostatistical Analyst, Environmental Systems Research Institute (Copyright 2001)			
		Using ArcGIS 3D Analyst, Environmental Systems Research Institute (Copyright 2000)			
		Using Avenue, Environmental Systems Research Institute (Copyright 1996)			
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